# EXHIBIT F

Plaintiff's Objections and Responses to Defendants' First Requests for Production of Documents (Nos. 1 - 138), served October 29, 2018

# EXHIBIT F

1	Jay J. Schuttert, Esq.	
2	Nevada Bar No. 8656	
3	David W. Gutke, Esq. Nevada Bar No. 9820	
	EVANS FEARS & SCHUTTERT LLP	
4	2300 West Sahara Avenue, Suite 900 Las Vegas, NV 89101	
5	Telephone: (702) 805-0290	
6	Facsimile: (702) 805-0291	
7	Email: jschuttert@efstriallaw.com Email: dgutke@efstriallaw.com	
8	Andrew Weaver ( <i>pro hac vice</i> ) POLSINELLI PC	
9	1000 Louisiana Street, 64th Floor	
10	Houston, TX 77002 Telephone (713) 374-1600	
11	Facsimile: (713) 374-1601	
12	Email: aweaver@polsinelli.com	
	Attorneys for Plaintiff	
13	UNITED STATES	S DISTRICT COURT
14	DISTRICT OF NEVADA	
15	DISTRICT OF NEVADA	
16	UNIVERSAL ENTERTAINMENT	CASE NO.: 2:18-CV-585 (RFB) (GWF)
17	CORPORATION, a Japanese corporation,	PLAINTIFF'S OBJECTIONS AND
	Plaintiff,	RESPONSES TO DEFENDANTS' FIRST REQUESTS FOR PRODUCTION OF
18	VS.	DOCUMENTS (NOS. 1 – 138)
19	ARUZE GAMING AMERICA, INC., a	
20	Nevada corporation, KAZUO OKADA, an individual	
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22	Defendants.	
	DI AINTHEES OR HECTIONS AND DESDON	JOEC TO DEFEND ANTC! FIDET DEOLIECTS
23	PLAINTIFF'S OBJECTIONS AND RESPONSES TO DEFENDANTS' FIRST REQUESTS FOR PRODUCTION OF DOCUMENTS (NOS. 1 – 138)	
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25	Pursuant to Rule 34 of the Federal Rules of Civil Procedure, Plaintiff Universal Entertainmen	
26	Corp. ("UEC" or "Plaintiff") hereby submits the following Objections and Responses to "Aruz	
27	Gaming America, Inc. and Kazuo Okada's Requests for Production of Documents (First Set)." Other	
	parties that have been named by Defendants' in its Counterclaims have not filed a Reply or otherwis	
28	moved pursuant to Rule 12 Accordingly thes	e parties are not under any obligation to provide

objections and responses or to produce any information at this time. Therefore, the below objections and responses and any documents produced pursuant hereto are on behalf of Plaintiff Universal Entertainment Corp. alone.

These objections and responses are made on the basis of information currently available and without prejudice to the right to produce evidence of any subsequently discovered fact or information, to add, modify or otherwise change, amend or supplement its response as appropriate or to correct any inadvertent errors, mistakes or omissions.

# **GENERAL OBJECTIONS**

- 1. Plaintiff incorporates by reference these General Objections into each and every specific response below. From time to time a specific response may repeat a General Objection for emphasis or for other reasons. The omission of any General Objection in any specific response to a Request for Production is not intended to be and should not be construed as a waiver or limitation of any General Objection to that response. The inclusion of any specific objection in a specific response to a Request for Production is not intended to be and should not be construed as a waiver or limitation of any General Objection or specific objection made herein or that may be asserted at another date.
- 2. Plaintiff objects to the Instructions and Definitions, to the extent they seek to impose any requirement or discovery obligation on Plaintiff greater or different than those imposed by the Court, the Federal Rules of Civil Procedure and/or the Local Rules of Practice in Civil Proceedings before the United States District Court for the District of Nevada ("Local Rules").
- 3. Plaintiff objects to the Instructions and Definitions to the extent they seek information or documents protected, privileged, immune or otherwise exempt from discovery pursuant to the Court, the Federal Rules of Civil Procedure, the Local Rules or any other applicable rule, decision, or law, including the attorney-client privilege, and the work product doctrine. The provision of any privileged information or document by Plaintiff is unintentional, and Plaintiff does not intend to waive any applicable objection or privilege as a result of such production.
- 4. Plaintiff objects to the Instructions and Definitions to the extent that they seek information or documents covered under a privilege for trade secrets and other confidential and proprietary information. Plaintiff will identify such documents only subject to the terms of the

Protective Order entered in this case to protect the confidential nature of such information and documents.

- 5. Plaintiff objects to the Instructions and Definitions to the extent they seek information for time periods beyond those relevant to the issues in this case as overly broad, unduly burdensome, oppressive, not reasonably calculated to lead to the discovery of admissible evidence, and otherwise beyond the scope of discovery under the Federal Rules of Civil Procedure and/or the Local Rules.
- 6. Plaintiff objects to the Requests for Production to the extent they constitute an abuse of process, particularly when the Request is unduly burdensome in view of the cost necessary to investigate and comply weighed against Defendants' need for information.
- 7. Plaintiff objects to the Requests for Production as unduly burdensome to the extent that they seek information already in the possession, custody or control of the Defendants.
- 8. Plaintiff objects to the Definitions and Instructions to the extent that they seek information or documents not within Plaintiff's knowledge, possession, custody or control.
- 9. Plaintiff objects to the Requests for Production to the extent that they seek the discovery of information that is within the possession, custody or control of third parties.
- 10. Plaintiff objects to the Requests for Production as unduly burdensome to the extent that they seek information available from public sources, which can be readily accessed by Defendants.
- 11. Plaintiff objects to the Requests for Production to the extent they seek information or documents to the extent that it is neither relevant to this case nor reasonably calculated to lead to the discovery of admissible evidence.
- 12. Plaintiff objects to the Requests for Production to the extent they seek identification of "all" or "every" fact, document, and/or persons or to the extent they seek to "fully identify" a person or entity that refers or relates to a particular subject on the grounds of over breadth, undue burden and expense. Plaintiff is making reasonable searches for information relevant to the issues raised in this case, including documents of the type that are the subject of the Requests for Production. If any additional responsive, non-privileged, non-immune, relevant information is discovered in the

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course of any further or continuing searches, it will be identified and/or produced in accordance with the Federal Rules of Civil Procedure and/or the Local Rules.

- 13. Plaintiff objects to the Requests for Production to the extent they call for a legal opinion or conclusion in formulating a response. Any response or production of information by Plaintiff shall not be construed as providing a legal conclusion regarding the meaning or application of any terms or phrases used in the discovery requests.
- 14. Plaintiff objects to the Requests for Production to the extent that they call for the production of information subject to the Attorney-Client Privilege, Work Product Doctrine or any other similar privilege or immunity from production under the laws of the United States or any foreign jurisdiction, as applicable.
- 15. Plaintiff objects to the Requests for Production to the extent that they purport to request information from parties other than Plaintiff Universal Entertainment Corp. Other parties named in Defendants' Counterclaims have, at the time this document was served, not filed a Reply or otherwise moved the Court pursuant to Rule 12 and may in fact not properly be parties to this case's present discovery plan. Accordingly, these objections and responses are made on behalf of Plaintiff Universal Entertainment Corp. alone and any production of documents or other information pursuant hereto is made on behalf of Plaintiff Universal Entertainment Corp. alone.
- 16. Plaintiff objects to the Requests for Production to the extent that they purport to impose any burden or obligation upon Plaintiff beyond that which is required by the Federal Rules of Civil Procedure, the Local Rules of the District of Nevada and the Parties' agreements regarding the protocol for translation and interpretation.

# **OBJECTIONS TO SPECIFIC DEFINITIONS AND INSTRUCTIONS**

1. Plaintiff incorporates by reference these Objections to Specific Definitions and Instructions into each and every specific response below. From time to time a specific response may repeat an objection for emphasis or for other reasons. The omission of any Objection to a Specific Definition and Instruction in any specific response is not intended to be and should not be construed as a waiver or limitation of any objection in that response. The inclusion of any specific objection in a specific response to a Request for Production is not intended to be and should not be construed as a

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26 28 waiver or limitation of any General Objection or specific objection made herein or that may be asserted at another date.

- 2. Plaintiff objects to Instruction No. 1 as misrepresenting the Federal Rules of Civil Procedure and for purporting to place a burden or obligation on Plaintiff not reflected in the Rules. Defendants erroneously assert that FRCP 34(b)(2) "Plaintiff/Counter-Defendants [to] 'organize and label [produced documents] to correspond with the categories in the request." Rule 34(b)(2), however, permits a party to produce information "as they are kept in the usual course of business or must organize and label them to correspond to the categories in the request." Federal Rule of Civil Procedure Rule 34(b)(2)(E)(i) (emphasis added). Plaintiff will endeavor to produce documents and other information in the manner that they are maintained in the ordinary course of business and/or permit Defendants to inspect such documents and other information. Plaintiff further notes that Instruction No. 1 contradicts Instruction No. 14 in this regard.
- 3. Plaintiff objects to the definitions supplied in Instruction No. 2 of "AGA," "Aruze" "Okada Holdings" and "UEC" as vague, ambiguous and overly broad to the extent it purports to include "each of their respective current and former officers, directors, agents, attorneys, accountants, employees, representatives, partners, consultants, contractors, advisors, and other persons occupying similar positions or performing similar functions, and all other persons acting or purporting to act on its behalf or under its control." Similarly, Plaintiff objects to Instruction No. 5 that purports to equate 'persons and other entities" with their "agents, employees, representatives and attorneys."
- Plaintiff objects to the definition in Instruction No. 2 of "concerning" as vague, 4. ambiguous and overly broad to the extent it purports to include all of the following meanings and connotations: "constituting, relating to, pertaining to, referring to, alluding to, responding to, in connection with, commenting on, in response to, regarding, explaining, discussing, showing, describing, studying, reflecting, analyzing, supporting or contradicting." Plaintiff will interpret the word "concerning" as it is commonly understood in ordinary usage.
- 5. Plaintiff objects to the definitions in Instruction No. 2 of "Documents" and "Communications" as being vague, ambiguous and overly broad and imposing a burden on Plaintiff greater than that imposed by the Federal Rules of Civil Procedure or the Local Rules. The supplied

1 definitions are vague and circular and/or nonsensical. As just one example, Defendants purport to 2 define a "document" as "including any physical things" when "tangible things" are considered a 3 separate category of production distinct from documents pursuant to Federal Rule of Civil Procedure 34(a)(1)(A) and (B). Plaintiffs will produce (subject to its objections) documents falling under the 4 5 6

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definition set forth in Rule 34(a)(1)(A). If a specific request through context indicates that a "tangible thing" should be produced in response to a specific request, Plaintiff will alert Defendants to make 7 arrangements for inspection or the production of a sample.

- 6. Plaintiff objects to the supplied definitions in Instruction No. 4 of the words "and" and "or" as vague, ambiguous, circular and overly broad. Similarly, Plaintiff objects to Instruction No. 3 that requests terms to be read as simultaneously singular and plural as vague, ambiguous, circular and over broad. Plaintiff will interpret these terms according to their ordinary usage.
- 7. Plaintiff objects to Instruction No. 7 relating to the production of privileged information or information subject to the work product doctrine to the extent that it exceeds the obligations imposed on Plaintiff by Rule 26 of the Federal Rules of Civil Procedure. Similarly, Plaintiff objects to Instruction Nos. 8-9 regarding the redaction of document and the instructions relating to the logging of documents that no longer exist. Such instructions seek to impose an obligation on Plaintiff greater than required by the Federal Rules of Civil Procedure or the Local Rules for the District of Nevada. Further, the information requested by these instructions maybe outside of the custody or control of Plaintiff or simply unknown or unknowable even subsequent to a reasonable investigation.
- 8. Plaintiff objects to Instruction No. 10 to the extent it purports to impose any burden or obligation upon Plaintiff beyond that which is required by the Federal Rules of Civil Procedure, the Local Rules of the District of Nevada and the Parties' agreements regarding the production of electronically stored information.
- 9. Plaintiff objects to Instruction No. 12 to the extent it purports to impose a burden or oppression on Plaintiff beyond that which is required by the Federal Rules of Civil Procedure or the Local Rules of the District of Nevada.

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# **OBJECTIONS AND RESPONSES**

#### General

# **REQUEST NO. 1:**

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Please produce copies of all Documents identified in and/or which tend to support, refute, or are in any way relevant to the Second Amended Complaint filed on August 23, 2018.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and its Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents," and "tend to support, refute, or are in any way relevant." Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 2:**

Please produce all Documents reviewed or relied upon in connection with the filing of the Second Amended Complaint in this litigation.

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#### OBJECTIONS AND RESPONSE:

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Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents" and "reviewed." Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "filing". Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control

to the extent that such information exists.

# **REQUEST NO. 3:**

Please produce all Documents on which UEC intends to rely to support its positions Concerning any claim or defense in this action.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents." Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants. Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim constructions and the parties have not completed expert discovery.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists. Further Plaintiff's production of certain of the requested information will be made when such production is required pursuant to the Court's Case Management Order and the Patent Local Rules of the District of Nevada.

# **REQUEST NO. 4:**

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Please produce all Documents sufficient to identify persons who have knowledge Concerning any claim or defense in this action.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "Documents ... Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants. Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim

constructions and the parties have not completed expert discovery.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists. Further Plaintiff's production of certain of the requested information will be made when such production is required pursuant to the Court's Case Management Order and the Patent Local Rules of the District of Nevada.

# **REQUEST NO. 5:**

Please produce all Documents Concerning, or on which UEC intends to rely to establish, elements of UEC' case where UEC bears the burden of proof.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents" "Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants. Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim constructions and

the parties have not completed expert discovery.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 6:**

Please produce all exhibits that UEC plans to use at any hearing or at the trial of this case, including Documents, physical samples, or demonstratives of any kind, and all Documents prepared by any person UEC expects to call to testify as an expert at trial, including but not limited to reports, correspondence, memoranda, charts, photographs, diagrams, drawings, tables, notes or similar Documents, as well as Documents though not written by experts, that UEC intends to offer into evidence through an expert at trial.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 7:**

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Please produce each and every written or recorded statement, affidavit, affirmation or declaration Concerning any of the allegations in the Second Amended Complaint that UEC or anyone acting on behalf of UEC has obtained.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "anyone acting on behalf of UEC has obtained." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# **REQUEST NO. 8:**

Please produce all Documents on which UEC relied in the preparation of UEC's response or supplemental response to any interrogatory, request for admission, or request for production served by Defendants in this Action, including any Documents identified in, requested to be identified in, used, or relied upon in the preparation of any such UEC response or supplemental response.

# **OBJECTIONS AND RESPONSE:**

requests propounded by the Defendants.

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Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request the production of

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recognized privilege or immunity. Plaintiff further objects to this request as specifically requesting the work product protected thoughts and mental impressions of Plaintiff's counsel. Plaintiff objects

information subject to the attorney-client privilege, the work product doctrine or any other

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accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request as

to this request to the extent it purports to request information that is within the public domain and

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overly burdensome to the extent it purports to request documents that are, or should be, within the

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possession custody and control of one or more of the Defendants. Plaintiff objects to this request as

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overly burdensome to the extent it purports to duplicate or overlap in subject matter with other

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Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# **REQUEST NO. 9:**

Please produce all Documents evidencing any damages incurred by Plaintiff as it relates to any claim alleged in the Second Amended Complaint.

#### OBJECTIONS AND RESPONSE:

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants. Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim constructions and the parties have not completed expert discovery. Plaintiff further objects to this request to the extent that damages suffered by Plaintiff are ongoing and accordingly this request could not be completely answered until infringement ceases.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 10:**

Please produce all Documents Concerning any document retention or destruction policy of UEC or any such policy governing UEC employees.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and

"destruction policy." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim constructions and the parties have not completed expert discovery.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 11:**

Please produce all Documents containing any facts or data that UEC, or anyone on its behalf including attorneys, provided to any testifying expert witness that the expert considered in forming the opinions to be expressed.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents containing any facts or data." Plaintiff notes that same facts may be contained in documents that are not relied upon and those that are relied upon. Further, Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim constructions and the parties have not completed expert discovery.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 12:**

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Please produce all Documents containing any assumptions that UEC, or anyone on its behalf including attorneys, provided to any testifying expert witness that the expert relied on in forming the opinions to be expressed from January 1, 2017 to present.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "that the expert relied on in forming the opinions to be expressed" and "containing any assumptions." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants. Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim constructions and the parties have not completed expert discovery.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# Corporate Structure/History

# **REQUEST NO. 13:**

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Please produce all Documents Concerning the corporate structure, organization, or business of UEC, including without limitation, articles of incorporation, bylaws, organizational charts, decision-making authority charts, business plans, and corporate reports and filings.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "business of UEC," "decision making authority charts." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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#### **REQUEST NO. 14:**

Please produce all Documents Concerning amendments UEC has made to its corporate Documents, including but not limited to its articles of incorporation and bylaws, since January 1, 2007.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "amendments UEC has made" "Documents Concerning" and "Documents." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be,

within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 15:**

Please produce all Documents Concerning the corporate structure, organization, or business of Aruze, including without limitation, articles of incorporation, bylaws, organizational charts, business plans, and corporate reports and filings, since January 1, 2007.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "business of Aruze". Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily

obtained by the Defendants. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 16:**

Please produce all Documents Concerning amendments Aruze has made to its corporate Documents, including but not limited to its articles of incorporation and bylaws, since January 1, 2007.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "amendments Aruze has made" "Documents Concerning" and "Documents". Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information.

Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# REQUEST NO. 17:

Please produce all Documents Concerning Okada Holdings' appointment of Okada as nominee director in UEC.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request

as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# **REQUEST NO. 18:**

Please produce all Documents Concerning the sale of AGA by UEC to Okada which was consummated on March 31, 2009.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "sale of AGA by UEC". Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request

as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other

search, Plaintiff will produce responsive, non-privileged information within its custody and control

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

# **REQUEST NO. 19:**

Please produce all Documents in support of UEC's contention in paragraph 59 of the Second Amended Complaint that the transfer of the remaining stock in AGA owned by UEC to Okada was "completed in March 2010."

#### **OBJECTIONS AND RESPONSE:**

requests propounded by the Defendants.

to the extent that such information exists.

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 20:**

Please produce all Documents Concerning UEC's appointment of Okada as nominee director in Tiger Resorts Asia Limited dated April 8, 2014.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and

Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# REQUEST NO. 21:

Please produce all Documents Concerning Okada's responsibilities and duties, including any job description, as director of Tiger Resorts Asia Limited.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports

to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 22:**

Please produce all Documents Concerning UEC's revocation of Okada's appointment of nominee director in Tiger Resorts Asia Limited.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the

parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 23:**

Please produce the Agreement/Declaration of Trust between Tiger Resorts Asia Limited and Okada, entrusting to Okada one (1) share of stock in Tiger Resorts Leisure and Entertainment, Inc.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# **REQUEST NO. 24:**

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Please produce the Tiger Resorts Asia Limited Resolution dated June 13, 2017, revoking the Agreement/Declaration of Trust between Tiger Resorts Asia Limited and Okada, entrusting to Okada one (1) share of stock in Tiger Resorts Leisure and Entertainment, Inc.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 25:**

Please produce the Tiger Resorts Leisure and Entertainment, Inc. Resolution and Minutes of Shareholders' Meeting Implementing the Removal of Mr. Okada as a Director, in relation to the Documents requested in Requests No. 23 and 24.

#### OBJECTIONS AND RESPONSE:

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "Director," as the request does not specify what Directorship it refers to. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 26:**

Please produce UEC's Former Affiliate Company Management Rules and current Subsidiary Company Rules (effective March 1, 2010).

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is neither

relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 27:**

Please produce all Documents Concerning share transfers made by shareholders of Universal Sales Co., Ltd. from 1991-1994, including, without limitation, all stock ledgers of Universal Sales Co., Ltd.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit.

Plaintiff objects to this request as overly burdensome to the extent it purports to request documents

that are, or should be, within the possession custody and control of one or more of the Defendants.

Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 28:**

Please produce all Documents Concerning share transfers made by shareholders of UEC from 2009-2011, including, without limitation, all stock ledgers of UEC.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control

to the extent that such information exists.

# **REQUEST NO. 29:**

Please produce all Documents Concerning share transfers made by shareholders of UEC in 2017, including, without limitation, all stock ledgers of UEC.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# **REQUEST NO. 30:**

Please produce all Documents Concerning all steps or efforts taken by UEC to enforce any of the

Asserted Patents against any person, including, but not limited to, charges of infringement of any of the Asserted Patents.

# **OBJECTIONS AND RESPONSE:**

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Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" "all steps or efforts" "charges of infringement". Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# **REQUEST NO. 31:**

Please produce all Documents in support of paragraph 69 of the Second Amended Complaint, which states "Because of Okada's actual knowledge of the Asserted Patents, AGA also had actual knowledge of the Asserted Patents."

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# REQUEST NO. 32:

Please produce all Documents in support of paragraph 70 of the Second Amended Complaint, which states "Upon information and belief, Okada directed employees of AGA located in Nevada to develop and produce the Accused Products, which made use of the technology claimed in the Asserted Patents, without obtaining a license or permission from UEC, and thereby infringing the Asserted Patents."

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody

and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 33:**

Please produce all Documents in support of paragraph 71 of the Second Amended Complaint, which states, "AGA provided no benefit or compensation to UEC for its exploitation of the Asserted Patents; AGA never entered into a license agreement with UEC."

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 34:**

Please produce all Documents in support of paragraph 72 of the Second Amended Complaint, which states "UEC did not learn of AGA's unauthorized use of UEC's patent rights, including the

Asserted Patents, until UEC began investigating Okada's misconduct in 2017."

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 35:**

Please produce all Documents Concerning the preparation, filing, and prosecution of each Asserted Patent, Related Patent, and Related Application, including, without limitation, all draft and final versions of the invention disclosure, the application(s), a copy of the prosecution history, draft versions of the application(s), draft versions of responses to office actions, and all communications regarding the preparation, filing, prosecution, abandonment, and revival of such patent applications.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants from the PAIR database of the USPTO.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

search, Plaintiff will produce responsive, non-privileged information within its custody and control

Plaintiff incorporates each of its General Objections and Objections to Definitions and

Plaintiff objects to this request to the extent it purports to request the production of

recognized privilege or immunity. Plaintiff objects to this request to the extent that it purports to

objects to this request as overly burdensome to the extent it purports to request documents that are,

request information that is outside of the possession, custody or control of Plaintiff. Plaintiff

or should be, within the possession custody and control of one or more of the Defendants.

information subject to the attorney-client privilege, the work product doctrine or any other

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# **REQUEST NO. 36:**

to the extent that such information exists.

**OBJECTIONS AND RESPONSE:** 

Instructions as if fully set forth herein.

Please produce all Documents Concerning UEC's contention that AGA has willfully infringed any 6 Asserted Claim.

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Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 37:**

Please produce all Documents Concerning UEC's contention that AGA has induced the infringement of any Asserted Claim.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other

recognized privilege or immunity. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are,

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

or should be, within the possession custody and control of one or more of the Defendants.

# **REQUEST NO. 38:**

Please produce all Documents Concerning UEC's contention that AGA has contributorily infringed any Asserted Claim.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### **REQUEST NO. 39:**

Please produce all Documents Concerning any UEC policy or procedure for acquisitions, mergers, or asset purchases, including Documents explaining or identifying any due diligence procedure, any investigation procedure, any intellectual property valuation procedure, and any technology transfer

procedure since January 1, 2007.

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# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" "any UEC policy" "Documents explaining". Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 40:**

Please produce all Documents Concerning any technical or industry conference, symposium, trade show, standards committee(s), or meeting concerning the subject matter of any of the Asserted

Patents attended by any of the Named Inventors anywhere in the world before the filing of the corresponding Related Applications, including but not limited to all Documents showing the attendance by any of the Named Inventors, notes by any of the Named Inventors, and Documents received at the conference, symposium, trade show, standards committee(s) or meeting since December 1, 1997 as to Mr. Sekine, and January 1, 2017 as to all other Named Inventors.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "All Documents

Concerning". Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### **REQUEST NO. 41:**

Please produce all Documents Concerning, or on which UEC intends to rely in support of, UEC's contention that any of the Asserted Patents are valid.

#### OBJECTIONS AND RESPONSE:

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "intends to rely in support of." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim constructions and the parties have not completed expert discovery.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### **REQUEST NO. 42:**

Please produce all Documents Concerning any alleged secondary indicia of non-obviousness, including without limitation:

- (a) any long-felt need for the alleged inventions claimed or technology disclosed in any of the Asserted Patents;
- (b) any failure in the relevant art to solve the problems that are the subjects of the alleged inventions claimed or technology disclosed in any of the Asserted Patents;
- (c) any commercial success of the alleged inventions claimed or technology disclosed in any of the Asserted Patents;
- (d) any industry recognition or praise of the alleged inventions claimed or technology disclosed in any of the Asserted Patents;

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- (e) any copying or adoption by others of the alleged inventions claimed or technology disclosed in any of the Asserted Patents;
- (f) any skepticism towards the alleged inventions claimed or technology disclosed in any of the Asserted Patents;
- any commercial acquiescence of competitors to license the alleged inventions claimed (g) or technology disclosed in any of the Asserted Patents;
- (h) any failure of others to independently develop the alleged inventions claimed or technology disclosed in any of the Asserted Patents;
- (i) any prior litigation regarding the alleged inventions claimed or technology disclosed in any of the Asserted Patents; or
- (j) any surprise towards the capabilities of the alleged inventions claimed or the technology disclosed in any of the Asserted Patents.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "secondary indicia of nonobviousness, "commercial success" "skepticism" "commercial acquiescence" "failure of others to independently develop" "surprise towards the capabilities." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff further objects to this request as overly broad and unduly burdensome to the

extent it is compound. Plaintiff further objects to this request as overly broad and unduly burdensome to the extent it is open ended ("including without limitation"), which requires the Plaintiff to guess as to what information beyond the numerous categories of information that Defendants actually seek. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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Please produce all publications authored or co-authored by any of the Named Inventors, which predate the filing date of any of the Asserted Patents on which such a Named Inventor is so named.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of

admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

REQUEST NO. 44:

Please produce all Documents Concerning all Prior Art known to UEC, any Named Inventor, and/or Patent Counsel that pertains to the subject matter claimed in any of the Asserted Patents, whether or not cited to the U.S. Patent and Trademark Office.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably

calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### || REQUEST NO. 45:

Please produce all employment or consulting agreements between UEC or others (including predecessor and UEC-acquired entities) and any of the Named Inventors.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession

of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

13 | REQUEST NO. 46:

Please produce all opinions of counsel Concerning the validity, enforceability, infringement, or non-infringement of any of the Asserted Patents.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all opinions of counsel Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff notes that this request expressly requests information covered by both the attorney-client privilege and work product doctrine. Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim constructions and the parties have not completed expert discovery.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

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search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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#### **REQUEST NO. 47:**

Please produce all affidavits, trial or deposition testimony, exhibits for such testimony, declarations, or other sworn statements of which UEC is aware Concerning any of the Asserted Patents and/or the inventions claimed in any of any Asserted Patents.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the

Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# **REQUEST NO. 48:**

Please produce all UEC and any UEC-acquired entity board and executive notes, minutes, agendas, or memoranda making any reference to any of the Asserted Patents, this litigation, or AGA.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim constructions and the parties have not completed expert discovery.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

search, Plaintiff will produce responsive, non-privileged information within its custody and control

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# **REQUEST NO. 49:**

Please produce all Documents Concerning any of the Asserted Patents and any of the Related Applications that matured into any of the Asserted Patents, including but not limited to Documents Concerning the references cited in any of the Asserted Patents and communications with third parties about any of the Asserted Patents.

#### **OBJECTIONS AND RESPONSE:**

to the extent that such information exists.

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff further objects to this request as vague and ambiguous to the extent it is compound. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this

request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

**REQUEST NO. 50:** 

Please produce all Documents Concerning the best mode of carrying out the subject matter disclosed or claimed in any of the Asserted Patents known to any of the Named Inventors at any time prior to the filing of any of the Related Applications.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "best mode". Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. The "best mode" requirement of patents in the United States is not a basis for invalidating any patent claim. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control

to the extent that such information exists.

# **REQUEST NO. 51:**

Please produce all Documents Concerning any experimentation or analysis of the subject matter of the claims of any Asserted Patent conducted by or for UEC or by any third party prior to each filing date of each of the Asserted Patents.

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# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "Documents Concerning" and "any experimentation or analysis of the subject matter." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 52:**

Please produce all Patents, Related Applications, Related Patents, patent file histories, and Documents or files Concerning all patents on which any of the Named Inventors is a named inventor. This request is limited to Documents created prior to the issue date of the Asserted Patent on which a named Inventor is so named.

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#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and

Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "patent file histories" and "files Concerning." Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 53:**

Please produce, for each Named Inventor, Documents sufficient to show the background, education, and work history of such person.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "background." Plaintiff objects to

this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff further objects to this request as beyond the scope of the claims and defenses of the lawsuit between the parties.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 54:**

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Please produce all Documents Concerning tracking of time worked and tasks performed on the G-ENEX project for all UEC employees and all AGA employees during the time AGA was affiliated with UEC.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms,

1 2 which are subject to more than one reasonable interpretation: "all Documents Concerning," 3 "tracking of time worked" and "tasks performed." Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and 4 5 every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by 6 7 Defendants and, consequently, the request purports to require Plaintiff to collect documents from 8 potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable 9 investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive 10 11 information. Plaintiff objects to this request to the extent it purports to request information that is 12 neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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#### **REQUEST NO. 55:**

Please produce all employee records for Named Inventor Mr. Koichiro Hashimoto.

#### **OBJECTIONS AND RESPONSE:**

discovery of admissible evidence.

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "employee records." Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff

to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

16 | **REQUEST NO. 56:** 

Please produce all Documents Concerning product presentations made by AGA from April 1, 2007 to present, including but not limited to, photos, video recordings, and notes made by UEC employees in attendance at AGA product presentations.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "Documents Concerning" and "product presentations." Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and,

consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# REQUEST NO. 57:

Please produce all Documents upon which UEC intends to rely to establish the level of ordinary skill in the art to which the subject matter of any of the claims of any Asserted Patents pertains.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents" and "establish the level of ordinary skill in the art." Plaintiff notes that each patent potentially has a different level of ordinary skill. Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request

to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff further objects to this request as overly broad inasmuch as the request is not specific as to the timeframe for "establish[ing] the level of ordinary skill in the art."

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

### **REQUEST NO. 58:**

Please produce all Documents Concerning UEC's first awareness of any activities, products, or services of AGA that form the basis for any accusation of infringement of any of the Asserted Patents.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "awareness." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 59:**

Please produce all Documents Concerning any analysis of alleged infringement of any of the Asserted Patents by any AGA product.

#### OBJECTIONS AND RESPONSE:

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim constructions and the parties have not completed expert discovery.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

**REQUEST NO. 60:** 

Please produce all Documents Concerning the basis for UEC's allegations of AGA's alleged infringement.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning". Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney

of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

**REQUEST NO. 61:** 

Please produce all Documents Concerning all steps or efforts taken by UEC or others (including UEC-acquired entities) to enforce any of the Asserted Patents against any person, including, but not limited to, charges of infringement of any of the Asserted Patents.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to

request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 62:**

Please produce all Documents Concerning the basis for UEC's claim of injunctive relief.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents

Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a

reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 63:**

Please produce all Documents Concerning any other litigation, prospective litigation, reexamination, reissue, inter parties review, or dispute involving any of the Asserted Patents or any Related Patents.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "dispute." Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the

extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 64:**

Please produce all Documents Concerning any patent marking in connection with any of the Asserted Patents, or any other alleged compliance by UEC with 35 U.S.C. Section 287(a).

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to

request information that is neither relevant to the subject matter of this lawsuit nor reasonably

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

search, Plaintiff will produce responsive, non-privileged information within its custody and control

calculated to lead to the discovery of admissible evidence.

# **REQUEST NO. 65:**

Please produce all license, assignment, or settlement agreements Concerning the alleged inventions claimed in any of the Asserted Patents and any Documents evidencing, recording, or related to payments made under such agreements.

#### **OBJECTIONS AND RESPONSE:**

to the extent that such information exists.

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 66:**

Please produce all Documents Concerning any communications, agreements, discussions, negotiations, inquiries, or proposals relating to the licensing or assignment of the inventions claimed in any of the Asserted Patents (including cease and desist letters and any communications relating thereto).

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### **REQUEST NO. 67:**

Please produce all Documents Concerning any license, offer to license, or attempt to license any of

the Asserted Patents or any Related Patents, or any Patents owned by UEC or UEC-Acquired Entities to any person-whether or not the offer or attempt has resulted in a license-including, without limitation, Documents regarding the rights being offered, the consideration paid or to be paid, any proposed or actual royalty rate or payment, any actual or projected revenues or profits, and those persons involved in the negotiation regarding such license or attempt to license.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### **REQUEST NO. 68:**

Please produce all Documents Concerning any assessment, analysis, study, forecast, plan, reports,

valuation, projection, evaluation, expectation, presentation, proposal, or discussion of the actual or projected monetary value to UEC or other indicia of value to any person of the alleged inventions claimed in any of the Asserted Patents including, without limitation, Documents regarding UEC's actual, planned, forecast, or expected revenues or profits from licensing any of the Asserted Patents.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "discussion". Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit.

Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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Please produce all Documents Concerning patent procurement policies, patent enforcement policies, competitor product review policies, or patent licensing policies of UEC since January 1, 2007.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning," "patent procurement policies," "competitor product review policies" and "patent licensing policies." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# **REQUEST NO. 70:**

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Please produce all Documents Concerning any alleged nexus, or lack thereof, between any alleged commercial success of the subject matter of any claim of any of the Asserted Patents and the alleged advantages of that subject matter.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning," "alleged nexus, or lack thereof" and "alleged advantages of that subject matter." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of

Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants. Plaintiff objects to this request to the extent it requires a legal conclusion in order to interpret the request.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 71:**

Please produce all Documents Concerning the nature and scope of any alleged irreparable injury suffered by UEC as a result of the alleged infringement of any of the Asserted Patents absent an injunction against AGA in this matter.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "nature and scope." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client

privilege, the work product doctrine or any other recognized privilege or immunity.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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#### **REQUEST NO. 72:**

Please produce all Documents Concerning remedies available at law are adequate to compensate UEC for the alleged infringement of any Asserted Patent by AGA.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Plaintiff objects to this request as overly burdensome to the extent it purports to request documents

that are, or should be, within the possession custody and control of one or more of the Defendants.

search, Plaintiff will produce responsive, non-privileged information within its custody and control

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

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# **REQUEST NO. 73:**

Please produce all Documents Concerning whether the balance of hardships weighs in favor of or against AGA for the alleged infringement of any of the Asserted Patents.

### **OBJECTIONS AND RESPONSE:**

to the extent that such information exists.

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "balance of hardships." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in

the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 74:**

Please produce all Documents Concerning UEC's contention that UEC is entitled to an injunction against AGA related to the Asserted Patents.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents

Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the

extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants. Plaintiff further objects to this request as premature inasmuch as discovery in this case has just begun and the Plaintiff has not yet completed its investigation of the relevant facts, the Court has not rendered any rulings or claim constructions and the parties have not completed expert discovery.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

REQUEST NO. 75:

Please produce Documents sufficient to describe each lost sale of UEC that UEC contends is the result of any alleged infringement by AGA as it relates to the Asserted Patents.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "Documents sufficient to describe." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a

reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

### **REQUEST NO. 76:**

Please produce Documents sufficient to describe the harm to UEC that UEC contends is the result of any alleged infringement by AGA as it relates to the Asserted Patents.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "Documents sufficient to describe." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a

reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

**REQUEST NO. 77:** 

Please produce all Documents Concerning agreements entered between UEC and AGA Concerning the Asserted Patents.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents

Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a

reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 78:**

Please produce all Documents Concerning communications between UEC and AGA Concerning the Asserted Patents.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and

"communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### SIC Investigation/Report

#### **REQUEST NO. 79:**

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Please produce all Documents in support of paragraph 67 of the Second Amended Complaint which states "In June 2017, UEC became aware of a possibility that Okada engaged in misconduct in relation to foreign business. In response to this misconduct, UEC established a Special Investigation Committee formed by outside experts to investigate Okada."

#### **OBJECTIONS AND RESPONSE:**

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REQUEST NO. 80:

Please produce all Documents in support of paragraph 68 of the Second Amended Complaint which states "On August 29, 2017, the Special Investigation Committee reported the result of the

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents in support." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

investigation to UEC. This identified the fact that Okada had caused UEC damages worth at least JPY 2.2 billion due to his misconduct through the foreign business done for his personal gain during 2013 – 2015. In response to the work of the Special Investigation Committee, UEC personnel further reviewed Okada's activities and they discovered the fact that AGA was making unauthorized use of UEC patent rights, including the Asserted Patents, and that Okada had concealed these facts."

## **OBJECTIONS AND RESPONSE:**

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Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents in support." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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#### **REQUEST NO. 81:**

Please product all Documents Concerning the formation of the Special Investigation Committee, including but not limited to the members who were selected to serve on the Special Investigation Committee.

# **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "the formation." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it

purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 82:**

Please produce all Documents Concerning any reports, including any drafts of the Special Investigation Committee report, from May 23, 2017 to the present, which is referenced in paragraph 68 of the Second Amended Complaint.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "report, including any drafts of the Special Investigation Committee report." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any

other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### **REQUEST NO. 83:**

Please produce all Documents provided to the Special Investigation Committee as referenced in paragraphs 67 - 68 of the Second Amended Complaint.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents provided." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a

request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially Plaintiff objects to this request to the extent it purports to request the responsive information. production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### **REQUEST NO. 84:**

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Please produce all Documents Concerning the Special Investigation Committee investigation and report as referenced in paragraphs 67 – 68 of the Second Amended Complaint.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms,

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which are subject to more than one reasonable interpretation: "all Documents Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given 3 the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category 4 5 of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request 6 7 purports to require Plaintiff to collect documents from potentially every employee, agent or attorney 8 of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a 9 reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to 10 request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this 14 request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff 16 objects to this request to the extent it purports to request information that is within the public 18 domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this 19 request to the extent that it is not reasonably limited to a time period relevant to the causes of action 20 and claims at issue in this lawsuit. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other 25 26 requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

search, Plaintiff will produce responsive, non-privileged information within its custody and control

to the extent that such information exists.

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# **REQUEST NO. 85:**

Please produce all Documents Concerning any written communications, text messages or emails referenced in any draft or final report by the Special Investigation Committee as referenced in paragraphs 67 - 68 of the Second Amended Complaint.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody

and control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

### **REQUEST NO. 86:**

Please produce each and every written or recorded statement, deposition transcript, affidavit, affirmation or declaration, or UEC Board Meeting Minutes Concerning the Special Investigation Committee that UEC, or anyone on its behalf has obtained.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "recorded statement." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to

lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

13 | REQUEST NO. 87:

Please produce all Documents Concerning communications between UEC and Mr. Yoshinao Negishi from January 1, 2017 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate

that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### **REQUEST NO. 88:**

Please produce all Documents Concerning communications between Mr. Fujimoto and Mr.

Yoshinao Negishi from January 1, 2017 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in

particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

**REQUEST NO. 89:** 

Please produce all Documents Concerning communications between UEC and Ms. Joanna Ip from January 1, 2017 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome

inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# REQUEST NO. 90:

Please produce all Documents Concerning communications between UEC and Tiger Resorts Asia Limited from January 1, 2017 to the present Concerning the Special Investigation Committee report which is referenced in paragraph 68 of the Second Amended Complaint.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms,

which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# 24 | **REQUEST NO. 91**:

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Please produce all Documents from UEC's Internal Audit Office from January 1, 2015 to present Concerning any subject matters that are contained in the Special Investigation Committee report which is referenced in paragraph 68 of the Second Amended Complaint.

#### **OBJECTIONS AND RESPONSE:**

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## **REQUEST NO. 92:**

Please produce all Documents Concerning the selection of those persons who worked in UEC's Internal Audit Office as of May 23, 2017.

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "any subject matters." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### OBJECTIONS AND RESPONSE:

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents

Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### **REQUEST NO. 93:**

Please produce all Documents from UEC's Board of Corporate Auditors which approved the report made by Mr. Nobuyoshi Ichikura at UEC's Extraordinary Board Meeting held on May 23, 2017.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms,

1 2 which are subject to more than one reasonable interpretation: "all Documents." Plaintiff will 3 undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the 4 5 production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions 6 7 of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff 8 to collect documents from potentially every employee, agent or attorney of Plaintiff rather than 9 conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially 10 11 responsive information. Plaintiff objects to this request to the extent it purports to request the 12 production of information subject to the attorney-client privilege, the work product doctrine or any 13 other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably 14 15 calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the 16 possession custody and control of one or more of the Defendants. Plaintiff objects to this request as 17

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# **REQUEST NO. 94:**

Please produce all Documents Concerning the selection of those persons who served on UEC's Board of Corporate Auditors on May 23, 2017.

overly burdensome to the extent it purports to duplicate or overlap in subject matter with other

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

search, Plaintiff will produce responsive, non-privileged information within its custody and control

#### **OBJECTIONS AND RESPONSE:**

requests propounded by the Defendants.

to the extent that such information exists.

Plaintiff incorporates each of its General Objections and Objections to Definitions and

Instructions as if fully set forth herein.

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Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 95:**

Please produce all Documents Concerning communications between Mr. Fujimoto and Auditor Nobuyoshi Ichikura (or Nobuyoshi Ichigura) from January 1, 2017 to the present Concerning any subject matters that are contained in the Special Investigation Committee report

which is referenced in paragraph 68 of the Second Amended Complaint.

#### **OBJECTIONS AND RESPONSE:**

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Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning," "communications" and "Concerning any subject matter." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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Please produce all Documents Concerning communications between UEC and the City-Yuwa Partners law firm from January 1, 2015 to the present.

#### **OBJECTIONS AND RESPONSE:**

the possession, custody or control of Plaintiff.

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control

to the extent that such information exists.

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### **REQUEST NO. 97:**

Please produce all Documents Concerning communications between Mr. Fujimoto and the Special Investigation Committee from January 1, 2017 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap

in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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#### **REQUEST NO. 98:**

Please produce all Documents Concerning communications between UEC and Mr. Michio Masaki from January 1, 2017 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# **REQUEST NO. 99:**

Please produce all Documents Concerning communications between UEC and Mr. Sotaro Matsuo from January 1, 2017 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in

the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 100:**

Please produce all Documents Concerning communications between UEC and Mr. Miya Fukayama from January 1, 2017 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the

subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 101:**

Please produce all Documents Concerning communications between UEC and Mr. Takahiro Usui from January 1, 2017 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client

privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

### REQUEST NO. 102:

Please produce all Documents Concerning communications between Mr. Fujimoto and Ms. Takako Okada from January 1, 2017 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate

that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 103:**

Please produce all Documents Concerning communications between Mr. Fujimoto and Mr. Kenshi Asano from January 1, 2017 to the present Concerning the Special Investigation Committee, Okada Holdings or Mr. Kazuo Okada.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and,

consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

#### **REQUEST NO. 104:**

Please produce all Documents Concerning communications between Mr. Fujimoto and Ms. Takako Okada (and/or Ms. Sachiko Okada) from January 1, 2017 to the present Concerning the Special Investigation Committee, Okada Holdings or Mr. Okada.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome

inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# **REQUEST NO. 105:**

Please produce all Documents Concerning communications between Mr. Fujimoto and Mr. Hajime Tokuda from January 1, 2017 to the present Concerning the Special Investigation Committee, Okada Holdings or Mr. Okada.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms,

which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 106:**

- Please produce all Documents Concerning communications between Mr. Fujimoto and Mr.
- Masayoshi Miyanaga from January 1, 2017 to the present Concerning the Special Investigation

27 Committee, Okada Holdings or Mr. Okada.

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#### **OBJECTIONS AND RESPONSE:**

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Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of

the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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## **REQUEST NO. 107:**

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Please produce all Documents Concerning communications between Mr. Fujimoto and Mr. Seisui Kamigaki from January 1, 2017 to the present Concerning the Special Investigation Committee, Okada Holdings or Mr. Okada.

#### **OBJECTIONS AND RESPONSE:**

the possession, custody or control of Plaintiff.

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

Plaintiff objects to this request to the extent that it purports to request information that is outside of

search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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## **REQUEST NO. 108:**

Please produce all Documents Concerning communications between Mr. Fujimoto and Mr. Yoshio Otani from January 1, 2017 to the present Concerning the Special Investigation Committee, Okada Holdings or Mr. Okada.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 109:**

Please produce all Documents Concerning communications between UEC and Deutsche Bank from January 1, 2017 to the present Concerning the Special Investigation Committee, Okada Holdings or Mr. Okada.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible

evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 110:**

Please produce all cellular telephone records for Mr. Fujimoto from January 1, 2017 to the present.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all cellular telephone records." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to

nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 111:**

Please produce all Documents Concerning plans by UEC to pursue an Initial Public Offering of its casino resort business in the Philippines.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff

objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# Okada Holdings Takeover

## **REQUEST NO. 112:**

Please produce each and every written or recorded statement, deposition transcript, affidavit, affirmation or declaration Concerning Okada Holdings, from the period of January 1, 2015 to present, that UEC, or anyone on its behalf, has obtained.

### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "recorded statement." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff

to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 113:**

Please produce all Documents Concerning communications between UEC and Mr. Tomohiro Okada including, but not limited to those Documents, Concerning the Okada Holdings Takeover or any activities of Mr. Okada.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the

requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## REQUEST NO. 114:

- Please produce all Documents Concerning communications between Mr. Fujimoto and Mr.
- 22 | Tomohiro Okada including, but not limited to those Documents, Concerning the Okada
- 23 | Holdings Takeover or any activities of Mr. Okada.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and

"communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 115:**

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Please produce all Documents Concerning communications between UEC and Mr. Makoto Takada including, but not limited to, those Documents Concerning the Okada Holdings Takeover.

## OBJECTIONS AND RESPONSE:

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# REQUEST NO. 116:

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Please produce all Documents Concerning communications between Mr. Fujimoto and Mr. Makoto Takada from January 1, 2017 to the present including, but not limited to, those Documents Concerning the Okada Holdings Takeover.

#### **OBJECTIONS AND RESPONSE:**

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Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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## **REQUEST NO. 117:**

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Please produce all Documents Concerning communications between UEC and Mr. Atsunobu Ishida, including, but not limited to, those Documents Concerning the Okada Holdings Takeover.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control

to the extent that such information exists.

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## **REQUEST NO. 118:**

- 4 | Please produce all Documents Concerning communications between Mr. Fujimoto and Mr.
- 5 Atsunobu Ishida, including, but not limited to, those Documents Concerning the Okada Holdings

6 | Takeover.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence.

Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request

information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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## **REQUEST NO. 119:**

Please produce all Documents Concerning communications between Mr. Fujimoto, or anyone on his behalf, and Hiromi Okada from January 1, 2017 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession,

custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

**REQUEST NO. 120:** 

Please produce all Documents Concerning communications between UEC, or anyone on its behalf, and Hiromi Okada from January 1, 2017 to the present.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in

the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# **REQUEST NO. 121:**

Please produce all Documents that specifically reference Hiromi Okada (including but not limited to Documents containing her name in Japanese) from January 1, 2017 to the present.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible

evidence.

Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 122:**

In a UEC Press Release dated May 31, 2017 entitled "Proposed Change of Board members," it states that UEC has "received notice from Okada Holdings Limited which owns 67.90% of the voting rights of the Company, stating its intention to approve the proposed candidates for Directors as listed above at the 44th Ordinary General Meeting of Shareholders." Please produce a copy of all Documents Concerning this notice that UEC received from Okada Holdings.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning this notice." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession

of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 123:**

From January 1, 2017 to present, please produce all Documents Concerning communications between UEC (or person or entity on its behalf) and any government organizations (including but not limited to the Hong Kong Independent Commission Against Corruption) Concerning allegations that Mr. Okada has engaged in any improper or illegal activities.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and,

consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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# **REQUEST NO. 124:**

From January 1, 2017 to present, please produce all Documents Concerning legal filings by UEC (or person or entity on its behalf) Concerning allegations that Mr. Okada has engaged in any improper or illegal activities.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning legal filings." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it

purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 125:**

From January 1, 2011 to present, please produce all Documents concerning any UEC resolutions (including but not limited to any UEC written corporate resolutions involving Tiger Resorts Asia Limited) Concerning allegations contained in the report by the Special Investigation Committee which is referenced in paragraph 68 of the Second Amended Complaint.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms,

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which are subject to more than one reasonable interpretation: "all Documents concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants. Plaintiff objects to this request as overly burdensome to the extent it purports to duplicate or overlap in subject matter with other requests propounded by the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

search, Plaintiff will produce responsive, non-privileged information within its custody and control

to the extent that such information exists.

### UEC Financials/Board Compensation

## **REQUEST NO. 126:**

Per a statement from Mr. Yoshinao Negishi, dated June 23, 2017, it states that "with respect to the compensation for directors of UE, UE has a prescribed calculation standard for performance-linked compensation. After reappointment of a director at the shareholder's general meeting of UE held in June of every year, the amount of compensation to be paid to a director is determined based on the performance of the previous business year according to this standard." Please produce a copy of any Documents Concerning this UEC director compensation standard from January 1, 2015 to present.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "any Documents Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity.

Plaintiff objects to this request to the extent it purports to request information that is neither relevant

to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and

search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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## **REQUEST NO. 127:**

Please produce all Documents showing the compensation paid by UEC to Mr. Fujimoto from January 1, 2015 to the present.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents showing." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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## **REQUEST NO. 128:**

Please produce all Documents showing the compensation paid by UEC to Mr. Hajime Tokuda from January 1, 2015 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents showing." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of

Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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## **REQUEST NO. 129:**

Please produce all Documents showing the compensation paid by UEC to Ms. Takako Okada from January 1, 2015 to the present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents showing." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the

extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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## **REQUEST NO. 130:**

Please produce all Documents showing the compensation paid by UEC to Mr. Shimizu Kamigaki from January 1, 2015 to the present.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents showing." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and

accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 131:**

Please produce all Documents showing the compensation paid by UEC to Mr. Yoshio Otani from January 1, 2015 to the present.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents showing." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this

request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 132:**

Please produce all Documents showing the compensation paid by UEC to Mr. Yoshinao Negishi from January 1, 2015 to the present.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents showing." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this

lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent it purports to request information that is within the public domain and accordingly is at least as easily obtained by the Defendants. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

**REQUEST NO. 133:** 

Please produce all Documents Concerning legal fees paid by UEC to lawyers for Tomohiro Okada from January 1, 2017 to present.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents

Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the

extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 134:**

Please produce all Documents Concerning monies paid by UEC to Tomohiro Okada to reimburse him for any costs, including any legal fees, incurred by him from January 1, 2017 to present.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents

Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given

the context.

Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to

request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## Indemnification

## **REQUEST NO. 135:**

Please produce all Documents Concerning communications between UEC and Wynn Resorts, Limited from January 29, 2017 to March 31, 2018 Concerning the Wynn Litigation.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "communications." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects

responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request as overly burdensome to the extent it purports to request documents that are, or should be, within the possession custody and control of one or more of the Defendants.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

## **REQUEST NO. 136:**

Please produce all Documents Concerning indemnification by UEC and/or Aruze of Okada in the Wynn Litigation.

## **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning" and "indemnification." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the

requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff.

Plaintiff objects to this request to the extent that it purports to request information that is outside of

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

the possession, custody or control of Plaintiff. Plaintiff objects to this request to the extent it

requires a legal conclusion in order to interpret the request.

## **REQUEST NO. 137:**

Please produce all Documents Concerning payment by UEC or Aruze of legal fees incurred by UEC or Aruze in the Wynn Litigation.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and Instructions as if fully set forth herein.

Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents

Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

# 24 || REQUEST NO. 138:

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Please produce all Documents Concerning payment by UEC or Aruze of legal fees incurred by Okada in the Wynn Litigation.

#### **OBJECTIONS AND RESPONSE:**

Plaintiff incorporates each of its General Objections and Objections to Definitions and

Instructions as if fully set forth herein.

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Plaintiff objects to this request as vague and ambiguous in its use of the following terms, which are subject to more than one reasonable interpretation: "all Documents Concerning." Plaintiff will undertake to interpret these terms as they would in ordinary usage given the context. Plaintiff objects to this request as overly broad and unduly burdensome inasmuch as it purports to request the production of "all" or "each and every" document in the requested category of documents. Such a request for all documents is unduly burdensome in particular given the extremely broad definitions of "Document" supplied by Defendants and, consequently, the request purports to require Plaintiff to collect documents from potentially every employee, agent or attorney of Plaintiff rather than conduct a reasonable investigation that collects responsive documents from a reasonable list of custodians whose job responsibilities would indicate that they were in possession of potentially responsive information. Plaintiff objects to this request to the extent it purports to request the production of information subject to the attorney-client privilege, the work product doctrine or any other recognized privilege or immunity. Plaintiff objects to this request to the extent it purports to request information that is neither relevant to the subject matter of this lawsuit nor reasonably calculated to lead to the discovery of admissible evidence. Plaintiff objects to this request to the extent it purports to request information that is in the possession, custody or control of third parties to this lawsuit and not within the possession, custody and control of Plaintiff. Plaintiff objects to this request to the extent that it purports to request information that is outside of the possession, custody or control of Plaintiff. Plaintiff objects to this request to the extent that it is not reasonably limited to a time period relevant to the causes of action and claims at issue in this lawsuit.

Subject to Plaintiff's above objections, after and pursuant to a reasonable investigation and search, Plaintiff will produce responsive, non-privileged information within its custody and control to the extent that such information exists.

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RESPECTFULLY SUBMITTED this 29th day of October, 2018. 1 2 3 By: /s/ Andrew Z. Weaver Jay J. Schuttert, Esq. 4 Nevada Bar No. 8656 David W. Gutke, Esq. 5 Nevada Bar No. 9820 **EVANS FEARS & SCHUTTERT** 6 LLP 2300 West Sahara Avenue, Suite 900 7 Las Vegas, NV 89102 Telephone: (702) 805-0290 8 Facsimile: (702) 805-0291 9 Andrew Weaver (pro hac vice) Polsinelli PC 10 1000 Louisiana Street, 64th Floor Houston, TX 77002 11 Telephone: (713) 374-1600 Facsimile: (713) 374-1601 12 ATTORNEYS FOR PLAINTIFF 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27

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1 **CERTIFICATE OF SERVICE** 2 I certify that on the 29th day of October, 2018, a true and correct copy of the foregoing 3 PLAINTIFF'S OBJECTIONS AND RESPONSES TO DEFENDANTS' FIRST REQUESTS 4 FOR PRODUCTION OF DOCUMENTS (NOS. 1-138) was served by the following method(s): 5 6  $\overline{\mathbf{V}}$ Email: by electronically delivering a copy to the following email address: 7 J. Stephen Peek, Esq. – speek@hollandhart.com Bryce K. Kunimoto, Esq. – bkunimoto@hollandhart.com 8 Robert J. Cassity, Esq. - bcassity@hollandhart.com HOLLAND & HART LLP 9 9555 Hillwood Drive, 2<sup>nd</sup> Floor Las Vegas, NV 89134 10 11 Attorneys for Defendants Aruze Gaming America and Kazuo Okada 12 Jeffrey S. Love, Esq. – Jeffrey.love@klarquist.com 13 Kristin L. Cleveland, Esq. – Kristin.cleveland@klarquist.com KLARQUIST SPARKMAN, LLP 14 One World Trade Center 15 121 S.W. Salmon Street, Suite 1600 Portland, OR 97204 16 Attorneys for Defendant Aruze Gaming America, Inc. 17 18 /s/ Faith B. Radford An Employee of Evans Fears & Schuttert LLP 19 20 21 22 23 24 25 26 27 28